

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09546031
Filing Date	2000-04-10
First Named Inventor	Keith
Art Unit	3692
Examiner Name	C.B. Graham
Attorney Docket Number	125461

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1	HANDA, P., et al., "A Tale of Two Trading Venues: Electronically Delivered Orders vs. Floor Brokered Orders on the American Stock Exchange," Proceedings of the 32nd Hawaii International Conference on Systems Sciences [HICSS-32], January 1999, 7 pages.	<input type="checkbox"/>
2	Office Action dated August 25, 2004, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
3	Final Office Action dated February 24, 2005, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
4	Office Action dated January 27, 2006, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
5	Final Office Action dated November 2, 2006, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
6	Office Action dated June 20, 2007, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
7	Notice of Allowance dated February 2, 2008, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
8	Allowed Claims from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
9	International Search Report dated June 5, 2001, issued in corresponding International Application No. PCT/US01/10711, filed April 2, 2001.	<input type="checkbox"/>
10	International Preliminary Examination Report dated March 8, 2002, issued in corresponding International Application No. PCT/US01/10711, filed April 2, 2001.	<input type="checkbox"/>
11	International Search Report dated July 30, 2001, issued in corresponding International Application No. PCT/US01/10712, filed April 2, 2001.	<input type="checkbox"/>

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12	International Preliminary Examination Report dated May 6, 2002, issued in corresponding International Application No. PCT/US01/10712, filed April 2, 2001.	<input type="checkbox"/>
13	International Search Report dated May 29, 2001, issued in corresponding International Application No. PCT/US01/10721, filed April 2, 2001.	<input type="checkbox"/>
14	International Preliminary Examination Report dated August 16, 2002, issued in corresponding International Application No. PCT/US01/10721, filed April 2, 2001.	<input type="checkbox"/>
15	International Search Report dated June 3, 2001, issued in corresponding International Application No. PCT/US01/10725, filed April 2, 2001.	<input type="checkbox"/>
16	International Preliminary Examination Report dated April 18, 2002, issued in corresponding International Application No. PCT/US01/10725, filed April 2, 2001.	<input type="checkbox"/>
17	Office Action dated May 1, 2007, from U.S. Application No. 11/515,362, filed August 31, 2006, which is a Continuation of U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
18	Final Office Action dated November 14, 2007, from U.S. Application No. 11/515,362, filed August 31, 2006, which is a Continuation of U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
19	Office Action dated July 17, 2008, from U.S. Application No. 11/515,362, filed August 31, 2006, which is a Continuation of U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>

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